

РП: S0040-4039(97)01603-1

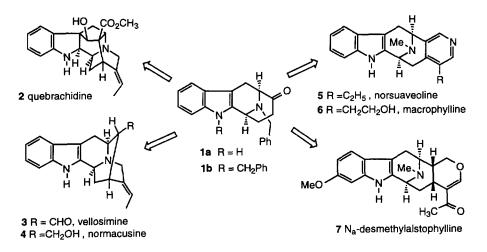
General Approach for The Synthesis of Macroline/Sarpagine Related Indole Alkaloids Via The Asymmetric Pictet-Spengler Reaction: The Enantiospecific Synthesis of The Na-H, Azabicyclo[3.3.1]Nonone Template

Peng Yu, Tao Wang, Fuxiang Yu and James M. Cook* Department of Chemistry, University of Wisconsin-Milwaukee Milwaukee, WI 53201

Abstract: N_a -H, N_b -benzyltetracyclic ketone Ia, a potential intermediate for the synthesis of numerous sarpagine-related alkaloids, has been synthesized enantiospecifically from D-(+)-tryptophan via the asymmetric Pictet-Spengler reaction. © 1997 Elsevier Science Ltd.

Over 80 indole alkaloids have been isolated from species of Alstonia over the last several years¹; among them more than 20 are N_a -H substituted bases^{2,3} a few of which are depicted in Scheme 1 (see 2-6). Several N_a -methyl macroline/sarpagine alkaloids have fallen to total synthesis, however, the inability to synthesize stereospecifically the key tetracyclic ketone 1a in the N_a -H series has retarded efforts to prepare alkaloids such as quebrachidine 2, vellosimine 3, normacusine 4, norsuaveoline 5 and macrophylline 6.

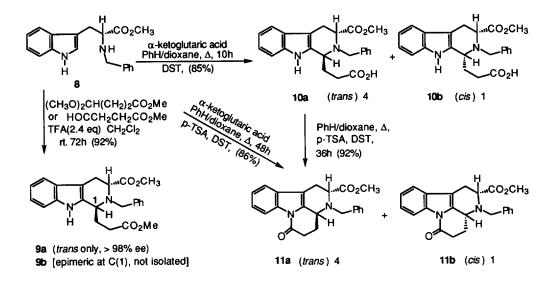
Scheme 1. Potential Target Alkaloids

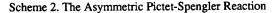


Magnus⁴ prepared N_a-Bn, N_b-benzyltetracyclic ketone **1b** and employed it in an elegent synthesis of koumine⁴; however, the route to **1b** required 9 steps and was not diasterospecific (2:1 selectivity) while Bailey⁵ later synthesized **1b** with higher diasteroselectivity (4:1) but in lower overall yield. Both routes required the later removal of the N_b-benzyl protecting group from **1b** with Na/NH₃, reaction conditions that are not compatible with some indoles in the sarpagine series.

In keeping with our interest in a general route to macroline/sarpagine alkaloids we wish to report the 5 step synthesis of template 1a in 60% overall yield in a stereospecific, enantiospecific fashion. The route

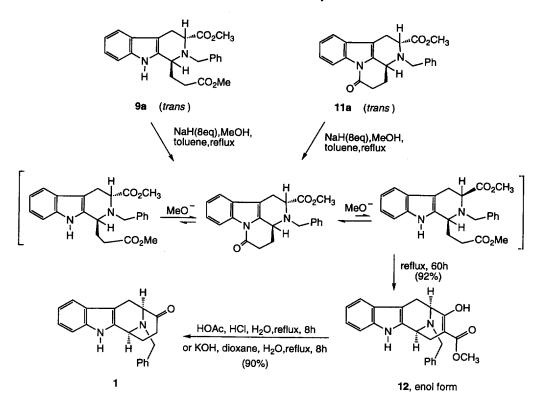
presented here has been scaled up to above the 100 gram level and does not require protection of the Na-H function.





D-(+)-tryptophan was converted into its Nb-benzyl D-(+)-tryptophan methyl ester 8 in two steps in high yield as reported.⁶ Under the normal conditions of the aprotic Pictet-Spengler reaction (benzene/dioxane, Dean-Stark trap, reflux, 8h) with tryptophan methyl ester 8 and α -ketoglutaric acid, the ester acids were provided as a mixture of trans 10a and cis 10b (ratio = 4:1) diastereomers in 85% yield. This mixture could be gradually converted into δ -lactams (11a, b) when the solution was heated at reflux for longer periods in the presence of a catalytic amount of p-TSA (60h). The formation of the δ -lactam (see 11) has been reported previously, 7,8,9 but it was earlier obtained as a trace byproduct or as a component of a complex mixture. The δ -lactams 11a (trans) and 11b(cis) were obtained in 86% yield in the ratio of trans:cis =4:1. The acid-catalyzed conversion of the lactam 11b (cis) into 11a (trans) failed. In order to improve the diasteroselectivity of this sequence either methyl γ -aldobutyrate or methyl 4,4-dimethoxybutyrate could be employed in the Pictet-Spengler process to avoid the formation of the δ -lactams in the presence of trifluoroacetic acid (TFA). The trans diester 9a was obtained diastereospecifically in high yield on stirring 8 with either γ -ester and TFA at room temperature for 72 hours in CH₂Cl₂ (Scheme 2). During the process of this Pictet-Spengler reaction the cis diester 9b was detected by TLC but it was converted entirely into trans diester 9a as planned. Examination of the results suggests here that 1) kinetically, the trans isomer is prefered in this Pictet-Spengler reaction; 2) thermodynamically, the trans isomer is also favored, consequently, any cis diester 9b formed from the γ -ester can be completely converted into the more stable trans diester 9a in the presence of TFA; 3) formation of the δ -lactam is faster than epimerization of the cis ester acid into the *trans* ester acid in the process catalyzed by p-TSA; 4) the substitution of an electron withdrawing group (amide) on the N_a-H function to provide the δ -lactam 11b destabilizes the proposed cationic intermediate necessary for epimerization, consequently epimerization of lactam 11b (*cis*) into lactam 11a (*trans*) was not observed. These experiments are consistent with the proposed mechanism of C-N bond cleavage for epimerization of *cis* Nb-benzyltetrahydro β -carbolines into their *trans* courterparts recently reported from our laboratory.⁶

Scheme 3. The Dieckmann Cyclization



Hobson et.al. had earlier converted the racemic δ -lactam 11 into the (±) β -ketoester 12 by hydrolysis of the δ -lactam to a diacid followed by methylation and then Dieckmann cyclization.⁷ This procedure required three steps and also risked epimerization of the stereogenic center at C-3. When *trans* diester 9a was heated with base for 1/2 hr it was converted almost entirely into *trans* δ -lactam 11a. However, when *trans* diester 9a was treated under the same reaction conditions (NaOMe, toluene, reflux) for 60 hours, the desired Dieckmann product 12 was produced in 92% yield. Presumably, the δ -lactam 11a formed and then opened up to 9a under these conditions and eventually was converted entirely into β -ketoester 12 *via* the Dieckmann process. Acid-mediated decarboxylation of the β -ketoester function in 12 then gave the template (-)-Na-H, Nb-benzyltetracyclic ketone [-(-)-9-oxo-12-benzyl-6,7,8,9,10,11-hexahydro-6,10-imino-5H- cyclooct[b]indole] **1a** in 90% yield ($[\alpha]_D^{28} = -240.20^\circ$ (c=1.0, CHCl₃)). The enantiomeric purity of the key β -ketoester was shown to be greater than 98% ee by ¹H NMR spectroscopy in the presence of the chiral shift reagent (S)-(+)-2,2,2-trifluoro-1-(9-anthryl)ethanol.

In summary, an enantiospecific, stereospecific synthesis of the key intermediate (-)- N_a -H, N_b benzyltetracyclic ketone 1a was accomplished in 5 steps from D-(+)-tryptophan via the trans transfer of chirality in the asymmetric Pictet-Spengler reaction. This procedure has been executed on multihundred gram scale and the intermediates can be purified by crystallization through the structure of the ketone 1a. The total synthesis of indole alkaloids from this key intermediate will be reported in due course.

Acknowledgment: The authors wish to thank the NIMH for generous financial support and the graduate school (UWM) for a fellowship to Peng Yu.

References

- Bi, Y.; Hamaker, L. H. and Cook, J. M. "The Synthesis of Macroline Related Alkaloids," in *Studies in Natural Products Chemistry, Bioactive Natural Products*, Part A, Vol. 13, Atta-ur-Rahman, A. and Basha, F. (eds.), Elsevier, Amsterdam, 1993, p. 383.
- 2. Hamaker, L. H. Ph.D. Thesis, University of Wisconson Milwaukee, Milwaukee, WI, 1995.
- a) Hamaker, L. H. and Cook, J. M. "The Synthesis of Macroline Related Sarpagine Alkaloids" in Alkaloids: Chemical and Biological Perspectives; Pelletier, S. W. (ed.); Elsevier Science: New York, 1995; Vol. 9; p 23. b) Bi, Y.; Zhang, L. H.; Hamaker, L. H. and Cook, J. M. " J. Am. Chem Soc. 1994, 116, 9027. c) Fu, X. and Cook, J. M. J. Am. Chem. Soc. 1992, 114, 6901.
- 4. Magnus, P.; Mugrage, B.; Deluca, M. R. and Cain, G. A. J. Am. Chem. Soc. 1990, 112, 5220.
- 5. Bailey, P. D. and McLay, N. R. Tetrahedron Lett. 1991, 31, 3895.
- Cox, E. D.; Hamaker, L. K.; Li, J.; Yu, P.; Czerwinski, K. M.; Deng, L.; Bennett, D. W. and Cook, J. M. J. Org. Chem. 1997, 62, 44.
- 7. Hobson, J. D.; Raines, J. and Whiteoak, R. J. J. Chem. Soc. 1963, 3495.
- 8. Shimizu, Masato; Ishikawa, Masayuki; Komoda, Yasuo; Nakajima, Terumi; Yamaguchi, Keiichi and Sakai, Shin-ichiro Chem. Pharm. Bull. 1984, 32, 1313.
- 9. Cain, M.; Campos, O.; Guzman, F. and Cook, J. M. J. Am. Chem. Soc. 1983, 105, 907.

(Received in USA 27 June 1997; revised 15 July 1997; accepted 1 August 1997)